The Diagnostic Effect From Axial Loading of the Lumbar Spine During Computed Tomography and Magnetic Resonance Imaging in Patients With Degenerative Disorders

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Study Design. Patients with low back pain, sciatica, and neurogenic claudication were observed during computed tomographic myelography or magnetic resonance imaging in psoas-relaxed position and axially compressed supine position of the lumbar spine.

Objective. To estimate the clinical value of axially loaded imaging in patients with degenerative disorders of the lumbar spine.

Summary of Background Data. Computed tomography and magnetic resonance imaging still are performed with the lumbar spine in a supine relaxed position, which results in unloading of the spine and enlargement of the canal.

Methods. A device for axial loading of the lumbar spine in computed tomography and magnetic resonance imaging was used. Altogether, 172 patients were examined in psoas-relaxed position and axially compressed supine position of the lumbar spine: 50 patients with computed tomographic myelography and 122 patients with magnetic resonance imaging. If a significant decrease (>15 mm²) in the dural sac cross-sectional area to values smaller than 75 mm² (the borderline value for stenosis) was found during examination in axial loading, or if a suspected disc herniation, narrow lateral recess, narrow intervertebral foramen, or intraspinal synovial cyst changed to being obvious at the axial loading examination, this was regarded as additional information important for the treatment.

Results. Additional valuable information was found in 50 of 172 patients (29%) during examination in axial loading. In the different diagnostic groups, additional valuable information was found in 69% of the patients with neurogenic claudication, in 14% of the patients with sciatica, and in 0% of the patients with low back pain. The percentage of additional valuable information increased to 50% in the patients with sciatica, if recommended inclusion criteria for examinations in axial loading were used. A narrowing of the lateral recess causing compression of the nerve root was found at 42 levels in 35 patients at axial loading.

Conclusion. According to the study results, axially loaded imaging adds frequent additional valuable information, as compared with conventional imaging methods, especially in patients with neurogenic claudication, but also in patients with sciatica if defined inclusion criteria are used. [Key words: axially loaded, CT, lumbar spine, MRI, neurogenic claudication, sciatica] Spine 2001; 26:2607–2614

Myelographic examination including flexion and extension of the lumbar spine in a standing position has long been used as a diagnostic tool in patients with suspected encroachment into the spinal canal.1,2,22,23 It is well documented that a narrowing of the spinal canal is provoked in axial loading, especially when it is combined with extension of the spine.10,14,20–23 Since the advent of computed tomography (CT) scanning, and especially with the introduction of magnetic resonance imaging (MRI), these noninvasive techniques have replaced myelography in most cases, mainly because of their superior capabilities for analyzing the content of the spinal canal. However, CT scanning as well as MRI have been performed with the lumbar spine in a supine relaxed position, which results in unloading of the spine and enlargement of the canal. Encroachments into the canal might thus remain undetected.

In patients with suspected spinal stenosis, axial loading of the lumbar spine in extension (ACE) during CT and MRI examinations recently has showed pathologic features not detected in the conventional, unloaded examination position (psoas-relaxed position [PRP]). In 29 of 84 patients with sciatica or neurogenic claudication, the load provocation disclosed relative or absolute stenosis at 40 disc sites.4,21,28 A common finding in ACE was a bulging disc, a thickening of the ligamentum flavum, a changed pattern of the dorsal fat pad causing a deformation of the dural sac, and free nerve roots at the level of the disc or the lateral recess.

According to these results, there is a considerable risk of failing to detect an essential narrowing of the spinal canal if only the relaxed position is used during the examination. The ACE examination is recommended when the cross-sectional area of the dural sac is smaller than 130 mm², or when there is a suspected narrow lateral recess, with or without deformation of the anterolateral part of the dural sac or suspected pressure on the nerve roots in the conventional PRP examination.4,21,28

The purpose of the current study was to evaluate the impact of axially loaded CT scanning and MRI in patients with different lumbar spinal disorders.

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Materials and Methods

A compression device, Dynawell (Figure 1), was used to perform the axially loaded examinations. This device consists of a nonmagnetic compression part and a harness. Worn by the patient, the harness is attached to the compression part using nylon straps, which are tightened to load the lumbar spine axially. During the procedure, the harness is tightened across the lower part of the chest to avoid pressure on the shoulders. It is crucial to control the straps passing the dorsal part of the femoral trochanters to maintain the lumbar lordosis.

In this study, the load chosen was approximately 40% of the subject’s body weight, never exceeding 50% to avoid injuries to the patient. This choice of load was based on previous disc pressure measurement findings at L3–L4 in standing subjects reported by Nachemson and Elfström\textsuperscript{11} and later by Sato et al.\textsuperscript{17}

By tightening or loosening adjustment knobs on the compression part, the load was regulated and equally distributed on both legs. The device was compatible with CT and MR scanners, enabling examination of the patients in a supine position with straightened legs, simulating the axial load on the lumbar spine in an upright position (i.e., when symptoms of sciatica and spinal stenosis most frequently appear).

During the examination, the patients were asked regularly about pain in the spine or in the legs, especially during compression. If necessary, the pressure could be released immediately by knee flexion. To avoid loading in patients with a vertebral fracture, severe osteoporosis, or tumors, all the patients were examined regularly in the conventional PRP before the ACE.

The CT examinations were performed on a Somatom Plus S unit (Siemens, Erlangen, Germany). Before the CT examination, 3 to 6 mL of iohexol (180 mg/mL) (Omnipaque; Nycomed, Amersham) was injected intrathecally. The CT examination was performed approximately 30 minutes after the contrast injection.

The MRI examinations were conducted on a 1-T system (Magnetom Impact; Siemens) using a surface coil. The patients were examined with sagittal and axial T1- and T2-weighted spin-echo or turbo spin-echo sequences.

All the examinations were performed in PRP followed by ACE. The box for transverse CT and MRI slices was placed parallel to the disc and as equally as possible in each position. The dural sac cross-sectional area (DCSA) was determined using a standard measurement program in the CT or MRI unit.\textsuperscript{4,28} According to experimental and clinical studies by Schönsström and Hansson,\textsuperscript{19} constriction of the cauda equina, measured as the DCSA, to a size averaging less than 75 mm\textsuperscript{2} (the borderline value for canal stenosis) from PRP to ACE, or 2) a suspected disc herniation, lateral recess or foraminal stenosis, or a intraspinal synovial cyst at PRP changing to obvious manifestation at ACE.

Criteria for additional valuable information (AVI) obtained from the axially loaded examination was defined as 1) a significant reduction of the DCSA (>15 mm\textsuperscript{2})\textsuperscript{4,28} to areas smaller than 75 mm\textsuperscript{2} (the borderline value for canal stenosis) from PRP to ACE, or 2) a suspected disc herniation, narrowing of the intervertebral foramen, or ligamentum flavum thickening, and any sign of a possible synovial cyst adjacent to a facet joint were noted.

A total of 172 patients (83 females and 89 males) with a mean age of 50 years (range, 14–80 years) were included in the study. From 1993 to 1994, 50 patients were investigated with the described CT myelographic technique. After that time, 122 patients were examined with MRI until the end of the study in April 1998.

The patients were selected for three groups according to their symptoms: low back pain, sciatica, or neurogenic claudication. Consequently, 33 patients were included in the group with low back pain (mean age, 43 years; range, 25–70 years), 84 in the group with sciatica (mean age, 49 years; range, 14–75 years), and 55 in the group with neurogenic claudication (mean age, 58 years; range, 36–80 years). The history of reported problems varied from 0.5 to 10 years in the group with low back pain, from 1 to 15 years in the group with sciatica, and from 0.5 to 20 years in the group with neurogenic claudication.

The methods used in the current study were approved by the ethical committee at the University of Göteborg.

Results

In 50 of the 172 examined patients (29%), AVI was found by the axially loaded CT or MR examinations if the criteria described under the Methods section were followed. However, when the patients with DCSA exceeding 130 mm\textsuperscript{2} in PRP on any disc level were excluded (71 patients), significant additional information was found in 50 of 101 (50%) patients (Table 1A and 1B).

In the group with neurogenic claudication, AVI was found in 38 of 55 (69%) patients. In patients with a DCSA exceeding 130 mm\textsuperscript{2} on all levels were excluded, AVI was found in 38 of 53 (72%) patients (Figures 2 and 3).
Among the patients with sciatica, AVI was found in 12 of 84 (14%) patients. After exclusion of patients with a DCSA exceeding 130 mm² on all levels, AVI was found in 12 of 42 (33%) patients.

If patients without any suspicion of nerve tissue encroachment at PRP were excluded, AVI was found in 12 of 24 (50%) patients. An obvious encroachment of the lateral recess with compression of the nerve root, unilaterally or bilaterally, was recorded during ACE in 35 patients on 42 levels. In one of these patients, an occult synovial cyst not visible during PRP protruded from the facet joint during ACE. This explained the patient’s L4 rhizopathy (Figure 4).

In 14 patients, more than one stenotic level was disclosed during ACE. Whereas 19 patients had a disc herniation, only four of the herniations had increased in size at ACE.

Altogether, 31 patients had undergone surgery for a spinal disorder before the axially loaded examination. In six of these patients, AVI was elicited during ACE (Table 1 A and B).

The DCSA decreased significantly to less than 100 mm² in 11 patients during ACE. These findings were not registered as AVI. The patients who had low back pain with or without referred pain did not disclose any AVI during ACE. In the 172 examined patients, the highest DCSA value during PRP that significantly decreased to less than 75 mm² during ACE was 100 mm² at L3–L4 (60 mm² during ACE), 109 mm² at L4–L5 (69 mm² during ACE), and 120 mm² at L5–S1 (30 mm² during ACE).
The changes in the spinal canal from PRP to simulated upright standing (ACE) were described in two studies.  
Penning and Wilmink\textsuperscript{15} showed in a CT myelographic study of patients with sciatica or neurogenic claudication that the dural sac narrowed concentrically in the spinal canal during extension, and that a widening with relief of involved nerve roots in flexion occurred. 

In two \textit{in vitro} studies using a pressure-recording technique, Schönström and Hansson\textsuperscript{17} studied the size of the cauda equina. They found that a borderline area averaging 77 ± 13 mm\textsuperscript{2} was needed for the nerve roots at L3–L4. In a previous clinical study of patients with spinal stenosis,\textsuperscript{2} confirmed at surgery, the chosen DCSA was 90 ± 35 mm\textsuperscript{2}. The findings led to the conclusion that the space needed for the dural sac at L3–L4 is in the range of 70 to 100 mm\textsuperscript{2}.

Willén \textit{et al}\textsuperscript{28} and Danielsson \textit{et al}\textsuperscript{4} developed the axially loaded CT myelographic technique, and later the MRI technique, conducted with the subject in a supine position. When they began investigating supine axial loading of the lumbar spine during CT myelographic examinations, eight patients with signs of sciatica or neurogenic claudication also were examined in extension of the lumbar spine without loading. The decrease in DCSA during ACE at 14 disc sites was significantly more pronounced than during supine lumbar extension alone. After that discovery, all examinations have been conducted in PRP and ACE.

The changes in the spinal canal from PRP to simulated upright standing (ACE) were described in two studies. \textsuperscript{4,28} According to these studies, there is a considerable risk of failing to detect an essential narrowing of the spinal canal if the examination is performed only in the unloaded traditional position. The specificity of the encroachment diagnosis was shown to increase considerably when the patient was subjected to an axial load.

In a study of healthy individuals, Kimura and Har- 
gens\textsuperscript{7} showed that the load comprising 50\% of a subject’s body weight applied by a compression device can morphologically simulate the lumbar spine in upright position.

In a recent study, nonsymptomatic subjects in different age groups (range, 20–60 years) with no spinal disorders during the lifetime were examined by axially loaded MRI.\textsuperscript{3} As expected, progressive degenerative signs were found in the functional spinal units correlated with increasing age. No significant narrowing of DCSA to less than 75 mm\textsuperscript{2} was registered, except for one at L4–L5 in a 50-year-old man, in whom also the lateral recess on one side was narrowed during ACE. No concurrent symptoms were registered.

In a comparative study of open MRI by Lee,\textsuperscript{8} the effect of upright standing in a kneeling position, as compared with axially loading of the lumbar spine in a supine position, was assessed in healthy subjects. The effects on bulging of the discs, change of the angles between the vertebrae, and change of the lumbar lordosis were equal during the two types of loading. However, the lordosis was more pronounced in the upright position, which might indicate the advantage of inserting a small pillow beneath the lumbar spine during the examination.

Despite continuous development of MRI equipment, including open MRI, essential problems still arise during attempts to perform examinations in upright posture for patients with spinal disorders. Schmid \textit{et al}\textsuperscript{18} presented a study in which healthy individuals were investigated while sitting in an open MRI. These individuals were able to sit motionless during the examination, and it was possible to measure the cross-sectional area of the spinal

\begin{table}
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\begin{tabular}{|c|c|c|c|c|c|c|c|c|c|c|c|}
\hline
\textbf{EM and Pat. No.} & \textbf{Age (Yr)} & \textbf{Gender} & \textbf{History of Complaint (Yr)} & \textbf{PRP–ACE} & \textbf{PRP–ACE} & \textbf{PRP–ACE} & \textbf{PRP–ACE} & \textbf{Dural sac CSA (mm\textsuperscript{2}) Disc level} & \textbf{ACE} & \textbf{Narrow Lateral Recess} & \textbf{Foraminal Stenosis} & \textbf{Disc Hern} & \textbf{Leg Pain at ACE} & \textbf{Prev. Surg.} & \textbf{Comment} \\
\hline
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CT 28 & 48 & F & 0.5 & & & & & 72–52 & 94–81 & & & & & & & & \\
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\end{tabular}
\caption{Description of Patients with Sciatica, Where Examination in Axially Loaded CT or MR Added Valuable Information (AVI) for Further Treatment Decisions}
\end{table}
canal and the foramina as well. This accords with findings by Weishaupt et al., who reported on patients with chronic low back pain investigated using the same method. Similar to the findings in the current study, no convincing signs of canal or foraminal encroachments were found.

In a study by Wildermuth et al., patients with combined low back pain and sciatica, also examined while
sitting in an open MRI, the overall examination time created severe pain problems. Motion artifacts and difficulties in reproducing the positioning between the sequences occurred regularly. This impaired the possibilities for analyzing the content of the spinal canal.

Moreover, in the sitting position the psoas muscles are relaxed, which tends to provoke the lumbar spine into flexion. Conversely, in standing, the psoas muscles are stretched, which increases the lordosis and tends to decrease the space in the spinal canal.

The advantages of the so-called kinematic but unloaded MRI, as compared with the conventional, relaxed position of the cervical spine was described by Muhle et al\textsuperscript{9} in examinations of patients with disc disease, spondylosis, radiculopathy, and myelopathy. The AVI obtained by the kinematic MRI, in which the cervical spine...
is moved from flexion to extension, was correlated with the clinical symptoms. In 21 of 26 patients the AVI obtained by kinematic MRI imaging influenced the therapeutic management and intraoperative positioning.

The reported findings of Muhle et al\textsuperscript{12} reflects the experience of the current authors with axially loaded examinations of the lumbar spine in the supine position. In the current study, the AVI during axially loaded examination of the lumbar spine was correlated with the clinical symptoms and signs.

When the results of the examination were judged without use of the recommended inclusion criteria, AVI during ACE was found in 50 of 172 study patients (29\%). Of the 55 patients with signs of neurogenic claudication, AVI was found in 36 (69\%).

In patients with sciatica, AVI was found only in 14\% if the inclusion criteria for the ACE were not used. The inclusion criteria, described in the basic studies by Willen et al\textsuperscript{28} and Danielson et al\textsuperscript{4}, comprised a DCSA smaller than 130 mm\textsuperscript{2} at all disc levels, a suspected narrow lateral canal with or without deformation of the anterolateral part of the dural sac, or a suspected nerve root compression during PRP. When these indications for examination in ACE were added, the AVI was increased from 14\% to 50\% in the group with sciatica group, but only from 69\% to 72\% in the group with neurogenic claudication. No AVI was found in the group with low back pain. This indicates the need to evaluate the history of each patient’s reported problems before deciding to perform an examination in ACE.

The additional diagnostic effect of axially loaded examinations is generally high in patients with obvious clinical signs of any encroachment into the spinal canal. In clinical practice, it is essential to avoid examinations of patients without signs of sciatica or neurogenic claudication.

The recommendation that a DCSA value of 130 mm\textsuperscript{2} should be used as an inclusion criteria for examination during ACE was based on previous experimental\textsuperscript{19} and clinical studies.\textsuperscript{2,4,28} According to the current analysis of the 172 patients, the PRP value of 130 mm\textsuperscript{2} might be too high. The highest value of DCSA during examination in PRP that reached values below 75 mm\textsuperscript{2} at examination in ACE was 100 mm\textsuperscript{2} at L3–L4, 109 mm\textsuperscript{2} at L4–L5, and 120 mm\textsuperscript{2} at L5–S1. As an inclusion criterion, it might be reasonable to decrease the borderline PRP value to 110 mm\textsuperscript{2} for at least levels at or above L4–L5. It is crucial to remember that the probability of finding useful information for treatment decreases with a too liberal attitude toward performing examinations during ACE.

Several experimental studies support the opinion that double-level stenosis impairs local nerve blood flow and nerve impulse propagation.\textsuperscript{5,6,13,24} In a CT myelographic study of patients with neurogenic claudication, Porter and Ward\textsuperscript{16} showed that this disorder often is associated with stenosis at least on two disc sites. At the different levels, there might be a combined central, lateral, or foraminal stenosis. In an experimental study on a human section material using CT and MR examinations, Nowicki et al\textsuperscript{12} showed that at some levels there were impressive decreases in the foraminal space from flexion to extension, and even to other positions. Therefore, it must be emphasized that a severe stenosis at one location should not exclude further attempts to investigate other disc sites where suspected changes might give rise to a stenotic situation in a certain body position.

\section*{Conclusion}

Experience with axially loaded CT and MRI examination of the lumbar spine indicates that the risk of failing to detect an essential spinal canal stenosis is considerable if only the examination using the traditional psoas relaxed position is performed. However, it is necessary to select the patients for the procedure after serious evaluation of their history of problems and the objective clinical and radiologic signs. According to the current results, the axially loaded examination should always be performed after the conventional examination to optimize the radiologic diagnosis in patients with signs of neurogenic claudication. In patients with sciatica, examination is indicated for those with suspected narrowing of the spinal canal or foramina, and those with a dural sac cross-sectional area smaller than 130 mm\textsuperscript{2} at or above L4–L5. However, no measurable guidelines have been established for L5–S1, partly because of the dural sac’s tapered configuration at that level.

Every MRI examination of a patient with a suspected encroachment into the lumbar spinal canal should start with a conventional investigation using PRP to avoid loading of an osteoporotic or fractured spine or a spine with a skeletal malignancy representing contraindications for loading. Examination in extension alone will improve the diagnostic specificity to a certain extent and might be used in elderly people or patients with clinical signs of osteoporosis.

In patients who have low back pain with or without referred pain to the legs, and without any suspicion of narrowing of the spinal canal during PRP examination, the probability of finding a pathology that explains their pain during ACE examination is very low.

\section*{Key Points}

\begin{itemize}
  \item It is well documented that a narrowing of the lumbar spinal canal is provoked in axial loading combined with extension of the spine.
  \item Consequently, CT scan and MRI still are performed with the patient in a supine relaxed position, resulting in unloading of the spine and enlargement of the canal.
  \item The risk of failing to detect an essential stenosis is thus considerable.
  \item According to the current study, an axially loaded CT or MRI examination should always be performed after the conventional examination in patients with signs of neurologic claudication and in selected patients with sciatica to optimize the radiologic diagnosis.
\end{itemize}
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References


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